

TRANSMITTAL
FORM

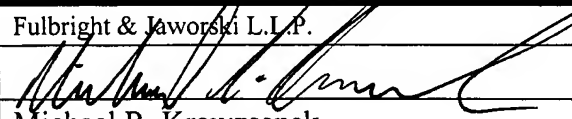
Application Number: 10/568,303
 Filing Date: February 15, 2006
 First Named Inventor: MARIO LECLERC
 Art Unit: Unknown
 Examiner Name: Unknown

Total Number of Pages in this Submission : _____ Attorney Docket Number: BRKP:012US

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> References _____ <input type="checkbox"/> Foreign Search Report <input type="checkbox"/> Certified Copy of Priority Documents <input checked="" type="checkbox"/> Reply to Notification of Defective Response <input checked="" type="checkbox"/> Reply to Notification of Defective Response <input type="checkbox"/> Declaration(s) _____ <input checked="" type="checkbox"/> Copy of Notification of Defective Response	<input type="checkbox"/> Drawings(s) _____ <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Statement under 37 CFR §3.73(b) <input type="checkbox"/> Designation of Patent Practitioners <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) <input checked="" type="checkbox"/> Check in the amount of \$975.00 <input checked="" type="checkbox"/> Authorized to be charged to deposit account if check insufficient or inadvertently omitted Deposit account number: <u>50-1212/BRKP:012US</u> <input type="checkbox"/> Sequence Statement <input type="checkbox"/> Paper Copy of Sequence Listing <input type="checkbox"/> Computer Readable Form (CRF) <input checked="" type="checkbox"/> Postcard
Remarks:		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Fulbright & Jaworski L.L.P.	Customer Number	32425
Signature			
Printed Name	Michael R. Krawzsenek	Reg. No.	51,898
Date	January 9, 2007		

EXPRESS MAIL MAILING LABEL

NUMBER EV 448721515 US
 DATE OF DEPOSIT January 9, 2007

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
MARIO LECLERC
JEAN-FRANCOIS MORIN

Serial No.: 10/568,303

Filed: February 15, 2006

For: MONOMERS, OLIGOMERS AND
POLYMERS OF 2-FUNCTIONALIZED
AND 2,7-DIFUNCTIONALIZED
CARBAZOLES

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: BRKP:012US

EXPRESS MAIL MAILING LABEL	
NUMBER	EV 448721515 US
DATE OF DEPOSIT	January 9, 2007

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

MS PCT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In response to the Notification of Defective Response, dated December 27, 2006, there are enclosed herewith:

- (a) Our check in the amount of \$975.00 to cover the additional claims fee; and
- (b) A copy of Notification of Defective Response.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R.

§§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an

01/10/2007 GFREY1 00000129 10568303

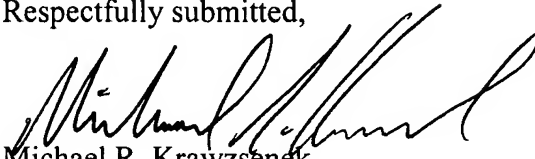
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975.00 OP

overpayment be included, the Commissioner is authorized to deduct or credit the appropriate fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/BRKP:012US.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Michael R. Krawzsenek
Reg. No. 51,898
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701
(512) 474-5201

Date: January 9, 2007



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/568,303	Mario Leclerc	BRKP:012US

32425
 FULBRIGHT & JAWORSKI L.L.P.
 600 CONGRESS AVE.
 SUITE 2400
 AUSTIN, TX 78701

INTERNATIONAL APPLICATION NO.

PCT/CA04/01509

I.A. FILING DATE

PRIORITY DATE

08/16/2004

08/15/2003

CONFIRMATION NO. 3382

371 FORMALITIES LETTER



OC000000021673950

Date Mailed: 12/27/2006

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 02/15/2006
- Copy of the International Search Report filed on 02/15/2006
- Copy of IPE Report filed on 02/15/2006
- Information Disclosure Statements filed on 10/20/2006
- Oath or Declaration filed on 10/20/2006
- Small Entity Statement filed on 02/15/2006
- U.S. Basic National Fees filed on 02/15/2006
- Priority Documents filed on 02/15/2006
- Power of Attorney filed on 10/20/2006
- Specification filed on 02/15/2006
- Claims filed on 02/15/2006
- Abstracts filed on 02/15/2006
- Drawings filed on 02/15/2006

Applicant's response filed 10/20/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 08/10/2006 have not been completed.

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$975 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$975** for a Small Entity:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is **\$ 975**

- **\$975** for **148** total claims over 20.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.

<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/568,303	PCT/CA04/01509	BRKP:012US